

11/29/00

JC965 U.S. PTO

12-01-00
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PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Attorney Docket No: 12951US01

11/29/00

JC860 U.S. PTO
09/726686

PATENT APPLICATION TRANSMITTAL

Box Patent Application
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Transmitted herewith for filing is the patent application of

Inventor(s): Roger Cayton, Richard W. Brotzman, Jr., and Marc Kullberg

Title: SUBSTANTIALLY TRANSPARENT, ABRASION-RESISTANT FILMS CONTAINING SURFACE-TREATED NANOCRYSTALLINE PARTICLES

1. Type of Application

This new application is for a: This application is a:

<input checked="" type="checkbox"/> utility patent.	<input type="checkbox"/> continuation
<input type="checkbox"/> design patent.	<input type="checkbox"/> divisional
<input type="checkbox"/> continuation-in-part (CIP)	

2. Application Papers Enclosed

<u> </u>	Title Page
<u>29</u>	Pages of Written Description (excluding Claims, Abstract & Drawings)
<u>14</u>	Page(s) of Claims (1-49)
<u>1</u>	Page(s) of Abstract
<u>2</u>	Sheet(s) of Drawings (Figs. <u>1 and 2</u>)
<input type="checkbox"/> Formal	
<input checked="" type="checkbox"/> Informal	

CERTIFICATION UNDER 37 CFR 1.10

I hereby certify that this Patent Application Transmittal and the documents referred to as enclosed therewith are being deposited with the United States Postal Service on **November 29, 2000**, in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231 utilizing the "Express Mail Post Office to Addressee" service of the United States Postal Service under Mailing Label No. EL649146197US.



Michael B. Harlin
Registration No. 43,658

3. Declaration or Oath

Enclosed (not executed)

Executed by (check all applicable boxes)

Inventor(s) (copy from prior application)

Legal representative of inventor(s)

(37 CFR 1.42 or 1.43)

Joint inventor or person showing a proprietary interest on behalf of inventor who refused to sign or cannot be reached

The petition required by 37 CFR 1.47 and the statement required by 37 CFR 1.47 are enclosed. See Item 5D below for fee.

Not enclosed - the undersigned attorney or agent is authorized to file this application on behalf of the applicant(s). An executed declaration will follow.

4. Additional Papers Enclosed

Preliminary Amendment

Information Disclosure Statement, including copies of cited references

Declaration of Biological Deposit

Computer readable copy of sequence listing containing nucleotide and/or amino acid sequence

Verified statement(s) claiming small entity status under 37 CFR 1.9 and 1.27

Associate Power of Attorney

Verified translation of a non-English patent application

An assignment of the invention (copy of recorded assignment(s) from prior application)

Certified copy(ies) of application(s):

COUNTRY	APPLICATION NO.	FILED

from which priority under 35 USC 119 is claimed

is(are) attached.

will follow.

Other

5. Filing Fee Calculation (37 CFR 1.16)

A. Utility Application

CLAIMS AS FILED - INCLUDING PRELIMINARY AMENDMENT (IF ANY)						
			SMALL ENTITY		OTHER THAN A SMALL ENTITY	
	NO. FILED	NO. EXTRA	RATE	Fee	RATE	Fee
BASIC FEE				\$ 355.00		\$ 710.00
TOTAL	49 -20	= 29	X 9 =	\$261.00	X 18 =	\$ 522.00
INDEP.	3 - 3	= 0	X 40 =	\$	X 80 =	\$ 0.00
<input type="checkbox"/> First Presentation of Multiple Dependent Claim			+ 135 =	\$	+ 270 =	\$ 0.00
Filing Fee:				\$616.00	OR	\$ 1232.00

B. Design Application (\$160.00/\$320.00) Filing Fee: \$ _____

C. Plant Application (\$245.00/\$490.00) Filing Fee: \$ _____

D. Other Fees

- Recording Assignment [Fee -- \$40.00 per assignment] \$ _____
- Petition fee for filing by other than all the inventors or person on behalf of the inventor where inventor refused to sign or cannot be reached [Fee -- \$130.00] \$ _____
- Other \$ _____

Total Fees Enclosed \$ _____

6. Method of Payment of Fees

- Enclosed check in the amount of: \$ _____
- Charge Deposit Account No. 13-0017 in the amount of: \$ 1232.00
A copy of this Transmittal is enclosed.
- Not enclosed

7. **Deposit Account and Refund Authorization**

The Commissioner is hereby authorized to charge any deficiency in the amount enclosed or any additional fees which may be required during the pendency of this application under 37 CFR 1.16 or 37 CFR 1.17 or under other applicable rules (except payment of issue fees), to Deposit Account No. 13-0017. A copy of this Transmittal is enclosed.

Please refund any overpayment to Deposit Account No. 13-0017.

Please direct all future communications to Thomas J. Wimbiscus at the address below.

McAndrews, Held & Malloy, Ltd.
500 West Madison Street, 34th Floor
Chicago, Illinois 60661

Please direct all telephone calls to Thomas J. Wimbiscus at (312) 775-8109. Please direct all facsimile transmissions to Thomas J. Wimbiscus at (312) 775-8100. Please direct all e-mail transmissions to twimbiscus@mhmlaw.com.

Respectfully submitted,

By:



Thomas J. Wimbiscus
Registration No. 36,059
Michael B. Harlin
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Date: November 29, 2000